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A new way of studying chemical reactions: a hand-in-hand URVA and QTAIM approach †‡

Sadisha Nanayakkara 🕩 and Elfi Kraka 🕩 *

Bond formation and bond cleavage processes are central to a chemical reaction. They can be investigated by monitoring changes in the potential energy surface (PES) or changes in the electron density (ED) distribution $\rho(\mathbf{r})$ taking place during the reaction. However, it is not yet clear how the corresponding changes in the PES and ED are related, although the connection between energy and density has been postulated in the famous Hohenberg-Kohn theorem. Our unified reaction valley approach (URVA) identifies the locations of bond formation/cleavage events along the reaction path via the reaction path curvature peaks and their decomposition into the internal coordinate components associated with the bond to be formed or cleaved. One can also investigate bond formation/cleavage events using the quantum theory of atoms-in-molecule (QTAIM) analysis by monitoring changes in the topological properties of $\rho(\mathbf{r})$ and the associated Laplacian $\nabla^2 \rho(\mathbf{r})$. By a systematic comparison of these two approaches for a series of ten representative chemical reactions ranging from hydrogen migration to cycloaddition reactions and gold(i) catalysis, we could for the first time unravel the PES-ED relationship. In the case of a bond formation, all changes in the ED occur shortly before or at the corresponding curvature peak, and in a bond cleavage, the ED changes occur at or shortly after the curvature peak. In any case, the ED changes always occurred in the vicinity of the curvature peak in accordance with the Hohenberg-Kohn theorem. Our findings provide a comprehensive view on bond formation/cleavage processes seen through the eyes of both the PES and ED and offer valuable guidelines on where to search for significant ED changes associated with bond formation or cleavage events.

1. Introduction

Bond formation and bond cleavage are central to a chemical reaction and this provokes one to pinpoint these chemically invaluable events along a reaction path connecting reactants and products. The general approach would be to follow this reaction path and quantitatively account for the bonding status of the reaction complex (*i.e.* the union of reacting molecules) on the grounds of monitoring some property that is sensitive to bond formation/cleavage events. There have been a number of studies along these lines using as a reaction path the intrinsic reaction coordinate (IRC) of Fukui.¹ Based on the choice of bond-sensitive property, these approaches can be classified into two main categories: those that employ features of the potential energy surface (PES), *i.e.* energy related properties, and those that use topological properties of the electron density (ED), as summarized in Fig. 1.

Computational and Theoretical Chemistry Group (CATCO), Department of Chemistry, Southern Methodist University, 3215 Daniel Avenue, Dallas, Texas 75275-0314, USA. E-mail: ekraka@smu.edu

 \ddagger Electronic supplementary information (ESI) available: Cartesian coordinates of the stationary points and reaction movies of the reactions (R1)–(R10) are included. See DOI: 10.1039/c9cp01933b

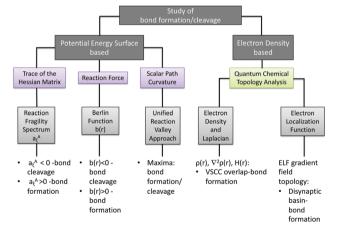


Fig. 1 Classification and summary of the main features regarding bond formation/cleavage for some reaction path-based methods.

With regard to features of the PES, Komorowski and co-workers² introduced a novel perspective to study bond formation/cleavage using the so-called atomic fragility parameter a_{ξ}^{A} , which is based on the trace of the Hessian matrix. Evaluation of a_{ξ}^{A} along the IRC generates a spectrum-like profile termed the reaction fragility spectrum where regions of a_{ξ}^{A} with $a_{\xi}^{A} < 0$ correspond to bond dissociation and $a_{\xi}^{A} > 0$ corresponds to bond

[†] In memoriam of Dieter Cremer.

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formation. Toro-Labbé *et al.* characterized the reaction mechanism of 1,3-intramolecular hydrogen transfer reactions by identifying different regions along the IRC, utilizing energy and reaction force profiles as the operating tools;³ a recurring approach in several mechanistic studies.^{4,5}

We use the unified reaction valley approach (URVA) developed by Kraka and Cremer, $^{6-8}$ which is based on the reaction path Hamiltonian of Miller, Handy and Adams. URVA utilizes the scalar curvature $\kappa(s)^{10}$ of the reaction path to identify bond formation/cleavage as a reaction proceeds. The curvature maxima Kn indicate locations on the reaction path where the reaction coordinate drastically steers from a subset of degrees of freedom to another subset, suggesting a chemical event (conformational, rehybridization, bond formation, bond cleavage, *etc.*) (see Section 2 for a detailed description of URVA). URVA has been successfully applied in our research to organic reactions, $^{11-15}$ homogenous catalysis 16,17 and chemical reactions taking place in an enzyme. 18

Complementary to the quantum chemical study of PES related properties, a description of bond cleavage/formation processes can also be based on the physically observable ED, $\rho(\mathbf{r})$. Hohenberg and Kohn¹⁹ showed that the energy of a nondegenerate electronic ground state of a molecule is a unique functional of the ED, implying that other chemical and physical properties can also be related to the ED. Thus, the analysis of ED redistributions along the reaction path provides a rational approach to study bond formation and cleavage in concert with the changes of the PES-related properties, which is the underlying motivation throughout our work. The pioneering work of Bader's quantum theory of atoms-in-molecule (QTAIM) analysis exploits the assertions in Hohenberg-Kohn theorem (HKT) by providing a rigorous protocol to define chemical bonding in terms of topological properties of the ED. 20-26 Another appealing method based on the ED is the electron localization function approach of Becke and Edgecombe.²⁷ This was further developed by Silvi and Krokidis²⁸ in their bonding evolution theory methodology for the quantitative study of chemical bonding along the reaction path. A substantial amount of work has been done within these frameworks to provide a wealth of information about reaction mechanisms, essential for identifying bond formation/cleavage processes, as highlighted in the recent works by Abjieufack et al.²⁹ on the 1,3-dipolar cycloaddition reaction mechanism and also in Zahedi and co-workers'30 coupled analysis using bonding evolution theory and noncovalent interaction index. In the work of Zalazar and co-workers³¹ aimed at the study of bond formation/cleavage events in zeolite-catalyzed reactions, various topological properties along the IRC were used as direct indicators to disclose the bonding status of selected bonds.

Despite these numerous studies, there is still a missing link bridging the features of the PES with those of the ED to provide a deeper insight into bond formation/cleavage events. In this respect, it is worth mentioning the work of Chakraborty *et al.*, ³² where they introduced a chemical binding indicator b(r), combining the Berlin function³³ and the derivative of the ED. The regions of space where b(r) < 0 indicate a bond cleavage event, and b(r) > 0 indicates a bond formation event. Another study spanning the scopes of both PES and ED is that of Sun and co-workers, ³⁴

involving the conventional energy scheme of stationary points and intermediates, and more interestingly the topological analysis of the ED along the reaction path emphasizing bond formation/cleavage structures. By monitoring gradient paths of the ED, they located the points on the reaction path where gradient paths appear/disappear, signifying formation/cleavage of respective bonds and points corresponding to the maximum of the Laplacian $\nabla^2 \rho(\mathbf{r})$, which they termed as a structure transition state.

The preceding studies describing bond formation/cleavage along the reaction path based on the features of the PES, ED or a composite of both, provoked us to systematically explore how the changes in the PES and ED pertinent to bond formation/cleavage processes are related along the reaction path. Simply put, are the significant changes in the features of the PES and ED, which govern the formation or rupture of a bond, analogous? If we monitor the formation/cleavage of a chemical bond along the reaction path, can we identify if the PES and ED changes occur simultaneously or concomitantly? If these changes occur at different points along the reaction path, what is the order of appearance? One final question to pose is, how can we use this new-found relation between features of the PES and ED to investigate bond formation/cleavage events along the reaction path in the most efficient way?

To answer these questions, we performed a comprehensive study linking features of the PES and ED for a series of chemical reactions covering a wide range of different bond formation/ cleavage mechanisms, including hydrogen migration reactions, pericyclic reactions such as the Diels-Alder reaction, and noncatalyzed/catalyzed sigmatropic rearrangement reactions. While we employed the URVA toolbox for investigating PES features, we used the QTAIM methodology for analyzing ED redistributions along the reaction path. Whereas the curvature maxima explicitly reveal the bond formation/cleavage processes along the reaction path, it is a more challenging task to identify the specific changes in the ED that could be closely associated with formation/cleavage of a bond. Bader's analysis based on topological properties of the ED at bond critical points (bcps) c, $\rho(c)$, provides a rational basis to identify formation or cleavage of a bond. The appearance of a bond path indicates the formation of a new bond and conversely, the disappearance of a bond path is associated with cleavage of an existing bond. However, an ED measure solely based on the bond path could be misleading and has to be complemented with other topological properties. While the gradient vector field, $\nabla \rho(\mathbf{r})$, can be used to further characterize the bond paths to some extent, more information of chemical interest can be extracted from the Laplacian $\nabla^2 \rho(\mathbf{r})$ as it displays the regions of local concentration and depletion of charge. Additionally, as pointed out by Bader and co-workers, $\nabla^2 \rho(\mathbf{r})$ yields a density shell structure corresponding to the quantum shell structure. 35,36 Thus, the outermost region of charge concentration $(-\nabla^2 \rho(\mathbf{r}))$ represents the valence shell, termed the valence shell charge concentration (VSCC). The VSCC has been employed in several works to study bond formation/cleavage events.37,38 For a bonded atom pair, the covalent character increases with approaching VSCC, and vice versa. Therefore, we also used the VSCC overlap begin/end points as flag points to monitor bond formation/cleavage processes. For the characterization of the covalent nature of a chemical bond, the Cremer and Kraka

criterion39 draws a sufficient condition based on the total electronic energy density H(c) at bond critical point c, which states that H(c) < 0is indicative of a covalent interaction, whereas H(c) > 0 is indicative of an electrostatic interaction. Thus, we monitored changes in the bond critical points, bond paths, H(c), $\nabla^2 \rho(c)$ and VSCC in order to identify bond formation/cleavage events, which we defined as E points. These E points include (a) catastrophe points attributed to the ED topology: appearance/disappearance of a bond path, and coalescence of bond and ring critical points, and (b) the initial point of partial overlap between the VSCCs of the two atoms of interest and conversely, the terminating point of this partial overlap separating the VSCCs to their respective atomic basins.

The objectives of this study were the following: (i) to elucidate and comment on bond formation/cleavage processes along the reaction path with the combined use of URVA and OTAIM; (ii) to probe the topology of the Laplacian at curvature peak positions; (iii) to understand the relationship between maxima in the URVA curvature and E points, and moreover to compare their relative locations along the reaction path; (iv) to evaluate QTAIM properties at the bond critical points, at the stationary points, e.g. reactants, products, and transition states, and at the reaction path curvature maxima, curvature minima and selected E points.

2. Computational methods

The theoretical basis underlying URVA has been thoroughly described in several publications 14,15,40-43 and in some review articles. 6-8,44 Therefore, we will provide only a brief overview of the major features of URVA, focusing on those used in this work. URVA follows the movement of the reaction complex (RC, the union of reacting molecules) along the reaction path on the PES from reactants to products via the transition state spanning the entire reaction path region from entrance to exit channel. As a chemical reaction proceeds, the occurring electronic structure changes of the RC are registered by its vibrational modes. The change in the vibrations results in a change of their coupling with the translational motion along the reaction path, which can be monitored by the so-called curvature coupling coefficient $B_{\mu,s}^{8-10}$ at each path point s. Large electronic structure changes, i.e. the formation/cleavage of a chemical bond, result in large $B_{\mu,s}$ values. The $B_{\mu,s}$ coefficients define the scalar reaction path curvature $\kappa(s)^{8-10}$ which measures the curving of the reaction path. Thus, analysis of $\kappa(s)$ along the reaction path in the form of a curvature profile provides a direct connection to identify the electronic structure changes of the RC during the reaction. The curvature profile is an ensemble of curvature maxima Kn and minima Mn. The Kn points indicate important chemical events (e.g. bond formation/cleavage, rehybridization, or charge transfer and polarization, etc.) while at the Mn locations bordering a peak, the RC falls to a neutral state or one with lower activity. The Mn can be associated with hidden intermediates, 6,7 which means a conversion to a real intermediate under specific reaction conditions is possible, e.g. by adding a catalyst. 12,14-17 We coined the term "reaction phase" to mean the region stretching from one curvature minimum to the next, enveloping a curvature maximum.^{6,7} In this way, each curvature profile is composed of a series of reaction phases, which can be used as the fingerprint of the reaction.

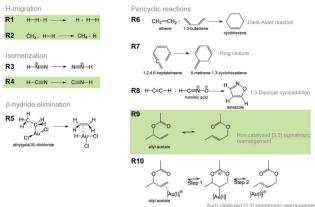


Fig. 2 Reactions (R1)-(R10) investigated in this work. Reactions (R1), (R2), (R4) and (R9) indicated by green boxes are discussed in detail in the manuscript. The remaining reactions are discussed in the ESI.†

A key feature of URVA related to our current work is the decomposition of the curvature into curvature components¹⁰ unravelling which internal coordinates (bond length, bond angle, dihedral angle, etc.) contribute to a certain curvature peak. A curvature component can be supportive (positive $\kappa(s)$ values) or resistive (negative $\kappa(s)$ values) to the chemical event it is describing. In this way, the locations of bond formation/cleavage events can be uniquely identified.

We included in our current study the ten reactions (R1)-(R10) shown in Fig. 2, previously investigated with URVA. 6,10-12,16,17,45 The reactions were chosen to cover a wide range of different bond formation/cleavage scenarios. We focused in particular on the bond length curvature components and their associated curvature peaks describing bond formation/cleavage events. For each of the reactions (R1)-(R10), the same model chemistry was used as in the previous URVA study: UMP2^{46,47}/6-31G(d,p)⁴⁸ for (R1) and (R2), MP2/6-31G(d,p) for (R3) and (R4), B3LYP/Def2-SVP⁴⁹/ ECP(Au)⁵⁰ for (R5), B3LYP⁵¹/6-31G(d,p) for (R6) and (R8), B3LYP/ 6-311+ $G(d,p)^{52}$ for (R7), B3LYP/6-31+ $G(d,p)^{53}$ for (R9) and B3LYP/ 6-31+G(d,p)/SDD⁵⁴ for (R10). A sufficiently large range of points around each curvature peak was analyzed to identify all important E points including the VSCC overlap begin/end points. The ED at these points was analyzed to determine the corresponding $\rho(c)$, $\nabla^2 \rho(c)$, ε and H(c) values. Apart from curvature peaks, we also investigated the ED at other points of interest along the reaction pathway with the QTAIM methodology, i.e. at the location of reactants (Rs), products (Ps) and transition states (TSs), as well as at the Mn. All quantum chemical calculations were carried out with the GAUSSIAN16 program package,55 the ED analysis was performed with the AIMALL program⁵⁶ and URVA was performed with the program package COLOGNE2019.57

3. Results and discussion

The results of this work are presented for 4 selected reactions (see Fig. 2), and each of the subsections is dedicated to one of the reactions (R1), (R2), (R4) and (R9). The rest of the reactions are discussed in the ESI.† The QTAIM parameters evaluated at curvature peak and E points of importance for the bond

formation/cleavage processes and at the stationary points of Rs, curvature $\kappa(s)$ and its decomposition into bond length con-TSs, and Ps are tabulated in Tables 1–4. In Fig. 3–6, the scalar tributions relevant for the bond formation/cleavage processes are

Table 1 Local topological properties of the electron density $\rho(c)$ calculated at the bond critical point c along the IRC for the forming bond H2H3 and cleaving bond H1H2; values in bold are for curvature peak data. s reaction parameter, $\rho(c)$ electron density, $\nabla^2 \rho(c)$ Laplacian, H(c) total energy density, and ε ellipticity calculated at the UMP2/6-31G(d,p) level of theory

Numbering	Bond	Character	s (amu ^{1/2} Bohr)	$\rho(c)$ (e Bohr ⁻³)	$ abla^2 ho(c)$ (e Bohr $^{-5}$)	$\varepsilon~(imes~10^{-15})$	H(c) (Hartree Bohr ⁻³)
H1-H2 + H3	H2H3 H1H2	R E K TS	-2.6799 -0.2999 -0.2000 0.0000 -2.6799 -0.2999 -0.2000 0.0000	0.0031 0.0820 0.1010 0.1557 0.2752 0.2400 0.2183 0.1557	0.0086 -0.0110 -0.0654 -0.3345 -1.4181 -1.0262 -0.8177 -0.3345	0.0000 0.4441 0.2220 1.1102 0.0000 0.2220 0.2220 1.3323	0.0004 -0.0335 -0.0490 -0.1128 -0.3610 -0.2729 -0.2244 -0.1128

Table 2 Local topological properties of the electron density $\rho(c)$ along the IRC calculated at the bond critical points c for the forming bonds C1H2 and cleaving bond H2H6; values in bold are for curvature peak data. s reaction parameter, $\rho(c)$ electron density, $\nabla^2 \rho(c)$ Laplacian, H(c) total energy density, and ε ellipticity calculated at the UMP2/6-31G(d,p) level of theory

Numbering	Bond	Character	s (amu ^{1/2} Bohr)	$ \rho(c) $ (e Bohr ⁻³)	$ abla^2 \rho(c) $ (e Bohr ⁻⁵)	$\varepsilon~(imes~10^{-9})$	H(c) (Hartree Bohr ⁻³)
Н	C1H2	R	-3.4799	0.0056	0.0152	0.4728	0.0005
.		M1	-1.2999	0.0303	0.0635	0.3733	-0.0033
_C1 + H2—H6 — → _C1 + H6		E1	-0.1599	0.0971	-0.0067	0.6894	-0.0444
H H H2		K1	-0.0799	0.1090	-0.0461	0.7083	-0.0551
H		TS	0.0000	0.1234	-0.1020	0.3122	-0.0690
		M2	0.2599	0.1824	-0.3726	1.6970	-0.1354
		E2	0.4199	0.2229	-0.5904	1.0904	-0.1938
		K2	0.5998	0.2651	-0.8636	0.4860	-0.2704
		M3	0.8598	0.2821	-0.9934	0.0416	-0.3055
		M4	1.7998	0.2834	-1.0123	1.4754	-0.3078
		P	3.1998	0.2807	-0.9943	1.3972	-0.3022
	H2H6	R	-3.4799	0.2748	-1.4133	0.0001	-0.3599
		M1	-1.2999	0.2714	-1.3639	0.0022	-0.3498
		E1	-0.1599	0.2153	-0.7853	0.0345	-0.2180
		K1	-0.0799	0.1988	-0.6424	0.0023	-0.1847
		TS	0.0000	0.1783	-0.4839	0.0485	-0.1477
		M2	0.2599	0.1120	-0.1068	0.0052	-0.0591
		E2	0.4199	0.0826	-0.0100	0.1444	-0.0339
		K2	0.5998	0.0592	0.0340	0.0615	-0.0189
		M3	0.8598	0.0411	0.0468	0.1433	-0.0098
		M4	1.7998	0.0140	0.0355	0.2960	0.0001
		P	3.1998	0.0033	0.0090	0.0666	0.0005

Table 3 Local topological properties of the electron density $\rho(c)$ calculated at the bond critical points c along the IRC for the forming bond N2H3 and cleaving bond C1H3; values in bold are for curvature peak data. s reaction parameter, $\rho(c)$ electron density, $\nabla^2 \rho(c)$ Laplacian, H(c) total energy density, and ε ellipticity calculated at the MP2/6-31G(d,p) level of theory

Numbering	Bond	Character	s (amu ^{1/2} Bohr)	$\rho(c)$ (e Bohr ⁻³)	$ abla^2 ho(c)$ (e Bohr $^{-5}$)	3	H(c) (Hartree Bohr ⁻³)
$H3-C1 \equiv N2 \rightarrow C1 \equiv N2-H3$	C1H3	R	-3.3935	0.2916	-1.2087	0.0000	-0.3404
		TS	0.0000	0.2015	-0.3274	0.8648	-0.1741
		E1	0.0199	_	_	_	_
		M1	0.2999	_	_	_	_
		K1	0.7598	_	_	_	_
		M2	1.1398	_	_	_	_
		P	4.2527	_	_	_	_
	N2H3	R	-3.3935	_	_	_	_
		TS	0.0000	_	_	_	<u>—</u> ,
		E1	0.0199	0.2011	-0.3148	0.9183	-0.1724
		M1	0.2999	0.2038	-0.2577	1.1146	-0.1721
		K1	0.7598	0.2386	-0.6623	0.4024	-0.2716
		M2	1.1398	0.2650	-1.0479	0.1686	-0.3543
		P	4.2527	0.3384	-1.8678	0.0000	-0.5190

Table 4 Local topological properties of the electron density $\rho(c)$ along the IRC calculated at the bond critical points c for the selected bonds C16O5, C1C16, and C10O4; values in bold are for curvature peak data. s reaction parameter, $\rho(c)$ electron density, $\nabla^2 \rho(c)$ Laplacian, H(c) total energy density, and ε ellipticity calculated at the B3LYP/6-31+G(d,p) level of theory

Numbering	Bond	Character	s (amu ^{1/2} Bohr)	$\rho(c)$ (e Bohr ⁻³)	$ abla^2 ho(c)$ (e Bohr $^{-5}$)	3	H(c) (Hartree Bohr ⁻³)
	O4C10	R	-16.5259	0.2260	-0.3863	0.0147	-0.2897
		M1	-16.1438	0.2261	-0.3866	0.0145	-0.2897
04 05 04 05		M2	-13.5044	0.2261	-0.3907	0.0135	-0.2891
Ŭ4		M3	-9.1552	0.2248	-0.3917	0.0167	-0.2845
C10 C16 C10 C16	:	M4	-5.5260	0.2151	-0.3698	0.0209	-0.2554
	ll .	К3	-3.9963	0.1902	-0.2633	0.0274	-0.1798
C1 C1		E1	-3.4264	0.1673	-0.1427	0.0328	-0.1243
		M5	-2.1366	0.1108	0.0627		-0.0403
		TS	0.0000	0.0554	0.1218	0.1231	-0.0051
		K4	0.1571	0.0580	0.1214	0.1161	-0.0060
		M6	2.1367	0.0338	0.1129	0.2371	0.0005
		E2	3.3364	0.0281	0.1016	0.3064	0.0014
		K5	3.7863	0.0263	0.0960	0.3363	0.0015
		M7	5.0161	0.0220	0.0801	0.4463	0.0017
		M8	9.8151	0.0135	0.0470	1.6929	0.0014
		M9	17.9135	_	_	_	_
		P	19.3478	_	_	_	_
	C16O5	R	-16.5259	_	_	_	_
		M1	-16.1438	_	_	_	_
		M2	-13.5044	_	_	_	_
		M3	-9.1552	_	_	_	_
		M4	-5.5260	0.0179	0.0665	0.9268	0.0018
		К3	-3.9963	0.0238	0.0891	0.5881	0.0019
		E1	-3.4264	0.0262	0.0969	0.4913	0.0018
		M5	-2.1366	0.0325	0.1109	0.3203	0.0009
		TS	0.0000	0.0542	0.1232	0.1151	-0.0045
		K4	0.1571	0.0517	0.1230	0.1261	-0.0037
		M6	2.1367	0.1123	0.0630	0.0446	-0.0414
		E2	3.3364	0.1693	-0.1506		-0.1286
		K5	3.7863	0.1889	-0.2545	0.0348	-0.1768
		M7	5.0161	0.2108	-0.3528		-0.2434
		M8	9.8151	0.2243	-0.3872	0.0194	-0.2841
		M9	17.9135	0.2283	-0.3891	0.0222	-0.2964
		P	19.3478	0.2287	-0.3882	0.0238	-0.2978
	C1C16	R	-16.5259	0.3456	-1.0087	0.3638	-0.3874
		M1	-16.1438	0.3456	-1.0089	0.3638	-0.3875
		M2	-13.5044	0.3459	-1.0108	0.3637	-0.3881
		M3	-9.1552	0.3467	-1.0160	0.3629	-0.3895
		M4	-5.5260	0.3475	-1.0210	0.3616	-0.3912
		К3	-3.9963	0.3471	-1.0196	0.3563	-0.3901
		E1	-3.4264	0.3463	-1.0169	0.3495	-0.3883
		M5	-2.1366	0.3417	-0.9991	0.3193	-0.3784
		TS	0.0000	0.3203	-0.9059	0.2199	-0.3343
		K4	0.1571	0.3225	-0.9159	0.2289	-0.3388
		M6	2.1367	0.2855	-0.7466	0.1033	-0.2658
		E2	3.3364	0.2690	-0.6703	0.0578	-0.2351
		K5	3.7863	0.2655	-0.6548	0.0475	-0.2287
		M7	5.0161	0.2639	-0.6495	0.0386	-0.2253
		M8	9.8151	0.2637	-0.6525	0.0354	-0.2239
		M9	17.9135	0.2638	-0.6554	0.0305	-0.2234
		P	19.3478	0.2639	-0.6561	0.0340	-0.2236

shown as a function of the reaction parameter s as well as $\nabla^2 \rho(\mathbf{r})$ contour plots with bond paths and bond critical points at Kn, Mn, and E and stationary points listed in Tables 1-4. In addition, Cartesian coordinates of all stationary points and reaction movies of the reactions (R1)-(R10) are included in the ESI.†

3.1. R1: H + H₂ reaction

This elementary reaction has often been used as a prototype for studying bimolecular reactions from many theoretical and experimental aspects.⁵⁸ We used this reaction as a starting point for our combined URVA and QTAIM analysis to deduce the link between the relative locations of the significant E and curvature points on the reaction path reflecting HH breakage and HH bond formation. Because of symmetry, both forward and reverse reactions are identical. As shown in Fig. 3A, the curvature profile features two peaks, one closely before the TS at s = -0.20 units and one at s = +0.20 units, being related to the equivalent reverse reaction. The first peak is dominated by the bond formation component H2H3 (numbering the RC as H1-H2···H3), which is supportive (positive sign), whereas the

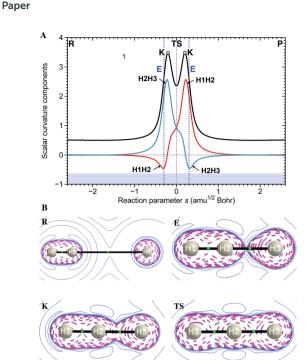


Fig. 3 R1: (A) Scalar curvature (shifted by 0.5 s units for comparison with components) as a function of the reaction parameter s (solid black line). The decomposition of scalar curvature into components is given in color. The borders of the reaction phases are indicated by vertical dashed lines at curvature points M1, M2, M3, etc. The TS at s=0 amu^{1/2} Bohr is also indicated by a vertical dashed line. R and P mark the first and last curvature points, corresponding to reactant and product, respectively. K denotes a curvature peak. E denotes a special point in electron density shown by a blue vertical dashed line. Values in grey, 1, 2, 3, etc., indicate reaction phases. (B) Laplacian contour plots of selected curvature points R, E, K, and TS, plotted in the molecular plane. The dashed (pink) contours denote regions of charge concentration where $\nabla^2 \rho(\mathbf{r}) < 0$, and the solid (blue) contours denote regions of charge depletion where $\nabla^2 \rho(\mathbf{r}) > 0$.

bond cleavage component H1H2 is negative, indicative of resistance to bond breaking. At the TS, with equal H1–H2 and H3–H3 distances and a 3 electron-3 center (3e-3c) bonding situation, a curvature minimum is located, denoting the starting point of the reversion of the role of the H1H2 and H2H3 components. Clearly, bond formation precedes bond breakage, leading to a non-classical 3e-3c bonding situation between s=-0.20 and +0.20 units.

The ED starts to accumulate in the region between H2 and H3 at E ($-0.30 \, s$ units, see Table 1), initiating the VSCC overlap, which is also reflected by the Laplacian revealing that the ED of the incoming H atom is polarized towards the ED distribution of H₂. This E point occurs fairly close to the curvature peak K, which signifies the formation of the new bond. It is interesting to note that after the E point, the supportive dominance of H2H3 declines whereas the resistance of H1H2 bond breakage becomes support and the 3e-3c bond starts to get established, showing that for this simple reaction, PES and ED features are synchronized.

3.2. R2: $CH_3 + H_2$ reaction

In this hydrogenation reaction of the $\mathrm{CH_3}$ radical, 6,14,40 we can recognize two distinct curvature peaks, K1 at -0.08 s units and

K2 at +0.60 s units (see Fig. 4A). The first curvature peak K1 is dominated by the H2H6 bond component supporting bond breaking. In a previous URVA study,40 using the electron difference density distribution map $\Delta \rho(\mathbf{r})$, it has been shown that when CH3 and H2 approach each other, the HH bond becomes polarized towards the negatively charged C atom, which in turn leads to a draining of the ED from the HH bond region. Examining the $\nabla^2 \rho(c)$ topology at the initial VSCC overlap point E1 (-0.16 s units, see Fig. 4B) confirmed this observation, where it was seen that the ED distribution in the region between H2 and H6 is slightly perturbed and after this point starts to accumulate between the C1 and H2 atomic basins. This is also reflected by H(c) and $\nabla^2 \rho(c)$ of C1H2 (see Table 2), which have become negative at this point. As can be seen from the curvature component C1H2 (see Fig. 4A), the resisting contribution, which is at a maximum at E1, starts to recede gradually after E1 and converts into a supporting one at the TS, which is shortly after the K1 peak. In this way, the ED flow from the H2H6 bond region to the C1H2 region causes the latter to become less resistive to bond formation. Meanwhile, the negative H(c) and $\nabla^2 \rho(c)$ values for H2H6 (see Table 2) are becoming more positive along the reaction path via the K1 peak implying the loss of covalent character. The other peak K2 at +0.60 s units results from finalization of the C1H2 bond formation as reflected in its supporting contribution to the peak. Here, there is no charge concentration between H2H6 atomic basins, as exhibited by the Laplacian topology (see Fig. 4B) and positive $\nabla^2 \rho(c)$ value (= 0.03, see Table 2). In contrast, C1H2 has negative $\nabla^2 \rho(c)$ and H(c) values, evidence of the well-established covalent nature of the C1H2 bond. The charge concentration around the H6 atom starts adapting its spherical character at E2 (+0.42 s units, see Fig. 4B), when the ED starts to recede in the H2H6 region, indicating the detachment of VSCC overlap. With regard to the H2H6 component, E2 resides in a plateau of the H2H6 curvature component (in green, see Fig. 4A) after which the supporting contribution of H2H6 rapidly declines and turn into a resisting one along the path. This reveals that when the ED starts to reorganize into the CH bond situation of CH₄ and the ED distribution around H6 becomes spherical, the H2H6 bond cleavage process has reached completion and the corresponding curvature component adjusts accordingly. In other words, when the ED stops flowing into the H2H6 interatomic region, the H2H6 curvature component no longer affects the curving of the reaction path. Compared with the H + H2 reaction, the first half of the CH₃ + H₂ reaction exhibits a similar curvature pattern with the inclusion of an E point, but the role of the HH component is reversed. The former has a resisting contribution to the first curvature peak from the HH bond component to be broken while the latter shows a supporting contribution (diminishing after E1), which can be explained by the enhanced polarization in the presence of the CH3 radical. 14 The E1 point associated with the CH bond formation precedes the K2 peak and is located in the vicinity of the K1 peak. On the other hand, the E2 point associated with the HH bond cleavage follows after the K1 peak and it is located near the K2 peak. The ED

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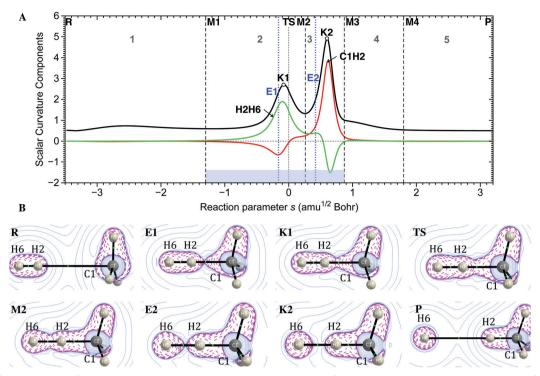


Fig. 4 R2: (A) Scalar curvature (shifted by 0.5 s units for comparison with components) as a function of the reaction parameter s (solid black line). The decomposition of scalar curvature into components is given in color. The borders of the reaction phases are indicated by vertical dashed lines at curvature points M1, M2, M3, etc. The TS at s = 0 amu^{1/2} Bohr is also indicated by a vertical dashed line. R and P mark the first and last curvature points, corresponding to reactant and product, respectively. K1, K2, etc. denote curvature peaks. E1, E2, etc. denote special points in electron density shown by blue vertical dashed lines. Values in grey, 1, 2, 3, etc., indicate reaction phases and the highlighted region in blue shows the chemical phases. (B) Laplacian contour plots of selected curvature points R, E1, K1, TS, E2, K2, and P, plotted in the H2-C1-H3 plane. The dashed (pink) contours denote regions of charge concentration where $\nabla^2 \rho(\mathbf{r}) < 0$, and the solid (blue) contours denote regions of charge depletion where $\nabla^2 \rho(\mathbf{r}) > 0$

changes before a peak add up to the bond formation and the reorganizations after a peak signaled completion of bond cleavage, herein providing further insight into the link between PES and ED features.

3.3. R4: isomerization of HCN

This simple gas phase reaction of hydrogen cyanide rearranging to hydrogen isocyanide has been extensively studied using several theoretical tools^{3,59-61} including the URVA method.¹⁰ Here, we intended to revisit this reaction with our combined analogy, thereby facilitating better understanding of its bond formation/cleavage processes along the IRC. Also, this reaction can be seen in connection with the HN₂⁺ reaction ((R3), see ESI‡) as characterized by a similar curvature shape. In the curvature profile, the only curvature peak K1 at +0.76 s units decomposes into major supporting contributions from C1H3 and N2H3 bonds. At this peak point, a bond path is found for N2H3 having a negative H(c). It was formerly proposed that this reaction proceeds via a cyclic, non-classical structure (partial 2e-3c bonding) located after the TS. 10 However, the ED analysis revealed the presence of a bond switching structure where the C1H3 bond path disappears and the N2H3 bond path appears at +0.02 s units (at E1, see Fig. 5B). This is rather close to the TS in which the N2H3 bond path is still absent. Since at E1, the

bond path has already been switched from the C to N atom, E1 is in fact a stable structure according to structural stability requirements.^{22,36} An infinitesimal shift in the direction of the TS will result in a bond catastrophe point where the H atom will be directly linked to the CN bcp yielding a catastrophe point of the conflict type. 22,36 (For our discussion, investigating E1 is sufficient, which is close to the catastrophe point.) At E1 for N2H3, H(c) is negative, suggesting a covalent interaction and ε is significantly high (= 0.92, see Table 3) resulting from the distorted T-shaped ED distribution at that point. The negative H(c) progressively increases and at +0.76 s units, it marks the finalization of the N2H3 formation as evidenced by the corresponding curvature peak K1 (see Fig. 5A). Also, an interesting observation about the topology of Laplacian charge density at K1 is that it starts depleting between the C1H3 atomic basins at this point, eventually rearranging to a more or less spherical form around C1 and H3 atoms. By the comparison of contour plots of $\nabla^2 \rho(c)$ for M1 and its counterpart M2 (see Fig. 5B), this topological change is more distinct. In comparison to the analogous HN_2^+ system, it can be inferred that changing from the symmetric HN_2^{+} system to one that is asymmetric results in a shift of the E point while preserving the overall curvature shape. The same cannot be said about the overall topological changes in the ED, which

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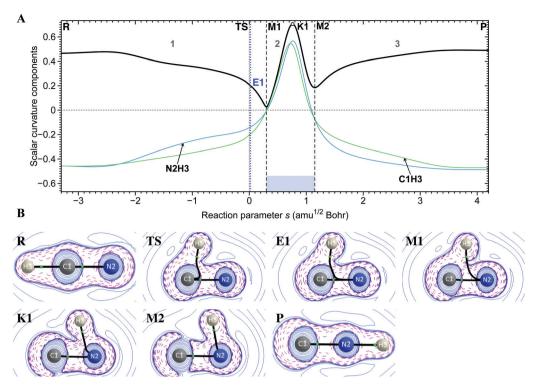


Fig. 5 R4: (A) Scalar curvature as a function of the reaction parameter s (solid black line). The decomposition of scalar curvature into components is given in color. The borders of the reaction phases are indicated by vertical dashed lines at curvature points M1, M2, M3, etc. The TS at s = 0 amu^{1/2} Bohr is also indicated by a vertical dashed line. R and P mark the first and last curvature points, corresponding to reactant and product, respectively. K1, K2, etc. denote curvature peaks. E1, E2, etc. denote special points in electron density shown by blue vertical dashed lines. Values in grey, 1, 2, 3, etc., indicate reaction phases and the highlighted region in blue shows the chemical phases. (B) Laplacian contour plots of selected curvature points R, TS, E1, M1, K1, M2, and P, plotted in the molecular plane. The dashed (pink) contours denote regions of charge concentration where $\nabla^2 \rho(\mathbf{r}) < 0$, and the solid (blue) contours denote regions of charge depletion where $\nabla^2 \rho(\mathbf{r}) > 0$.

have now become more complex since H has two different bonding partners. The TS is no longer a π -complex but closely resembles the E1 catastrophe structure and also as in the previous case no 3-membered ring was found. Here, an overall important observation is that the significant changes in the PES and ED, in the simultaneous process of C1H3 bond cleavage and N2H3 bond formation, do not necessarily overlap on the reaction path but are accompanied by one another. The bond catastrophe in the ED at E1 occurs well in advance of the curvature peak at K1, the latter dictating the final cleavage of the existing bond and the establishing of the new bond.

3.4. R9: [3,3]-sigmatropic rearrangement of allyl acetate

This reaction has been studied in previous studies, 62 including with URVA, 16 in connection with the gold-catalyzed counterpart (reaction (R10), see ESI†) to understand the mechanistic differences, particularly the influence of Au(1)-NHC catalysts. 63,64 As revealed by the curvature peaks, the rupture of the C10O4 bond takes place at K3 (-4.00 s units) and the formation of the new C16O5 bond occurs at K5 (+3.79 s units) (see Fig. 6A). From R through K3, the ED starts depleting between the C10O4 atomic basins (see Fig. 6B), as represented by the decreasing negative $\nabla^2 \rho(c)$ and H(c) values at C10O4 (see Table 4). At E1 (-3.43 s units), located in close vicinity of the K3 peak, the

overlapping VSCC is separated into their respective atomic basins, C10 and O4. As could be anticipated, after this point, $\nabla^2 \rho(c)$ becomes positive, marking the transition of the C10O4 shared-shell interaction to that of a closed-shell interaction. Subsequently, the covalent character of the C4H10 bond deteriorates as viewed in the decrease of negative H(c) values. It is interesting to notice that at E1, the supporting contribution of the O4C10 curvature component has already begun to decrease as well as the small supporting contribution from O5C16. Shortly after the TS at K4, both O4C10 and C16O5 components resist further change and as discovered by URVA, this indicates the possibility to break up the reaction into two steps with the use of a catalyst, 16 which is demonstrated in the reaction (R10). At E2 (+3.55 s units, see Fig. 6B), the formation of the C16O5 bond is initiated when VSCC overlap occurs leading to the ED accumulation between the C16O5 atomic basins and bond formation is represented by the K5 peak. Both O5C16 and O4C10 components are supporting at this point; yet the supporting contribution from the latter starts to decline shortly after E1. When the ED starts to flow into the O5C16 region, this component positively influences the curving of the reaction path. In contrast, the ED has substantially depleted from the O4C10 region at this point and the O4C10 component affects the curving of the reaction path to a lesser degree, or in other words, it suppresses further curving. In agreement with the

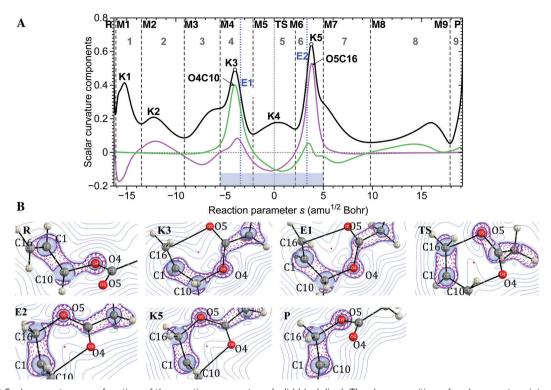


Fig. 6 R9: (A) Scalar curvature as a function of the reaction parameter s (solid black line). The decomposition of scalar curvature into components is given in color. The borders of the reaction phases are indicated by vertical dashed lines at curvature points M1, M2, M3, etc. The TS at s = 0 amu^{1/2} Bohr is also indicated by a vertical dashed line. R and P mark the first and last curvature points, corresponding to reactant and product, respectively. K1, K2, etc. denote curvature peaks. E1, E2, etc. denote special points in electron density shown by blue vertical dashed lines. Values in grey, 1, 2, 3, etc., indicate reaction phases and the highlighted region in blue shows the chemical phases. (B) Laplacian contour plots of selected curvature points R, K3, E1, TS, E2, K5, and P, plotted in the planes C1-O4-C10 for R-E1 and O5-C1-C16 for TS-P. The dashed (pink) contours denote regions of charge concentration where $\nabla^2 \rho(\mathbf{r}) < 0$, and the solid (blue) contours denote regions of charge depletion where $\nabla^2 \rho(\mathbf{r}) > 0$.

former results, the O4C10 bond cleavage is followed by E1, and E2 precedes the O5C16 bond formation.

3.5. Decomposition of H(c) along the reaction path

The energy density $H(\mathbf{r})$ is defined as:^{39,65}

$$H(\mathbf{r}) = G(\mathbf{r}) + V(\mathbf{r}) \tag{1}$$

and its connection to the Laplacian $\nabla^2 \rho(\mathbf{r})$ is given by the virial theorem:20-22

$$\frac{1}{4}\nabla^2 \rho(\mathbf{r}) = 2G(\mathbf{r}) + V(\mathbf{r})$$
 (2)

where $G(\mathbf{r})$ is the kinetic energy density (always positive) and $V(\mathbf{r})$ (always negative) is the potential energy density. The negative potential energy $V(\mathbf{r})$ corresponds to a stabilizing accumulation of density whereas the positive kinetic energy density $G(\mathbf{r})$ corresponds to a depletion of electron density.³⁹ Therefore, the inspection of how G(c) and V(c) change along the reaction path and how these changes are connected with the changes observed in $\nabla^2 \rho(c)$, in particular in bond formation/ cleavage regions, will lead to a deeper understanding of the PES-ED relationship. This is discussed in the following for (R2), as an example. Fig. 7 shows how H(c), G(c), V(c) and $\nabla^2 \rho(c)$ change for the C1H2 bond (bond to be formed) along the reaction path and Fig. 8 shows the corresponding changes for

the H2H6 bond (bond to be broken). For the C1H2 bond, we observed that H(c) becomes negative close to curvature minimum M1 before the K1 peak, which implies that $V(\mathbf{r})$ becomes dominating from this point. G(c) increases up to the curvature point K2; however, the increase is not strong enough to outweigh H(c). $\nabla^2 \rho(c)$ starts out as positive, slightly increasing until the E1 point is reached, where it changes sign from positive to negative (see Fig. 7e), indicating that electrons are confined to the bonding region. The link to the reaction path curvature is given by the fact that at the E1 point, the C1H2 component of the reaction path curvature changes from negative (i.e. resisting) to positive (i.e. supporting) as a consequence of electrons being more trapped in the C1H2 bond region, eventually leading to bond formation. In the H2H6 bond cleavage event (see Fig. 8), after M1, V(c) decreases and in contrast G(c) increases, reaching a maximum value around M2. After this point, kinetic energy density becomes dominating as shown by the sign transition of $\nabla^2 \rho(c)$ from negative to positive (E2 point, see Fig. 8e). The link to the reaction path curvature is given by the fact that after E2, the supporting contribution from the H2H6 component rapidly declines and as kinetic energy density has already reached a maximum in the H2H6 region, it implies electrons are less likely to stay in that region or in other words the H2H6 bond has been cleaved. In summary, this analysis

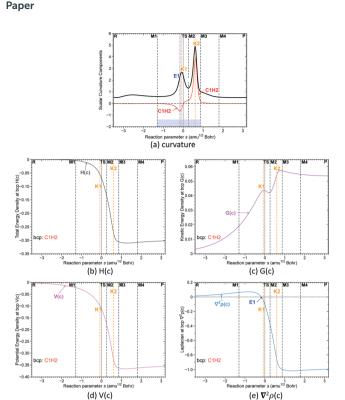


Fig. 7 Decomposition of H(c) for the C1H2 bond along the reaction path for (R2). The curvature peak positions, K1 and K2, are indicated by orange vertical dashed lines. The E point is indicated either by a blue vertical dashed line or marked in blue. The reaction path is calculated in s (amu^{1/2} Bohr) units. H(c), G(c) and V(c) are in Hartree Bohr⁻³ units. The Laplacian is given in e Bohr⁻⁵ units. (a) Curvature (in black) and the curvature contribution of the bond forming component C1H2 (in red); (b) total energy density H(c) along the reaction path; (c) kinetic energy density G(c) along the reaction path; (d) potential energy density V(c) along the reaction path; (e) Laplacian $\nabla^2 \rho(c)$ along the reaction path.

shows that there is a direct connection between the interplay of V(c) and G(c) and the reaction path curvature.

4. Conclusions

In this work, we unravelled the relationship between the reaction path curvature peaks associated with bond formation/cleavage events and changes in the topology of the ED, vindicating the Hohenberg–Kohn theorem. For all reactions (R1)–(R10) investigated in this work, the ED changes connected with a bond formation/cleavage process occurred in the vicinity of the corresponding curvature peak. As illustrated in this work, a combined URVA-QTAIM study provides a comprehensive view on bond formation/cleavage processes seen through the eyes of both the PES and ED. It also offers valuable guidelines on where to search for significant ED changes associated with a bond formation/cleavage event. We could arrive at the following conclusions.

(1) Scanning the neighborhood of a curvature peak associated with a bond formation/cleavage event with QTAIM exposed a manifold of changes in the ED. These changes are reflected in the topology of the Laplacian, as the beginning of VSCC overlap between respective atomic basins inducing the bond formation

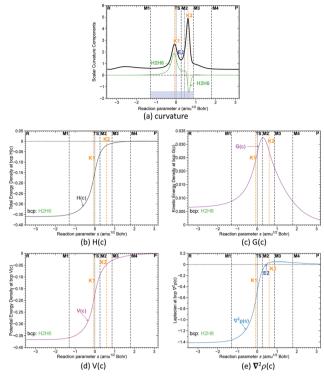


Fig. 8 Decomposition of H(c) for the H2H6 bond along the reaction path for (R2). The curvature peak positions, K1 and K2, are indicated by orange vertical dashed lines. The E point is indicated either by a blue vertical dashed line or marked in blue. The reaction path is calculated in s (amu^{1/2} Bohr) units. H(c), G(c) and V(c) are in Hartree Bohr⁻³ units. The Laplacian is given in e Bohr⁻⁵ units. (a) Curvature (in black) and the curvature contribution of the bond cleavage component H2H6 (in green); (b) total energy density H(c) along the reaction path; (c) kinetic energy density G(c) along the reaction path; (e) Laplacian $\nabla^2 \rho(c)$ along the reaction path.

or conversely, the ceasing of the VSCC overlap, leading to a bond cleavage. Additionally, catastrophe points of the conflict and bifurcation type could be identified in the close vicinity of a curvature peak, where bond paths appear/disappear or bond and ring critical points coalesce. These significant changes in the ED along the reaction path connected with a bond formation/cleavage event always appeared close to a curvature peak. Thus, the location of the curvature peaks can serve as starting points for an ED analysis of bond formation/cleavage processes within the QTAIM framework. This replaces the cumbersome exercise of scanning the entire reaction path to monitor topological changes in order to determine the location of bond formation/cleavage, as has been the approach of some previous studies.

(2) The locations of the reaction path curvature peaks denoting bond formation or bond cleavage events are concomitant to notable changes in the topology of the ED. For bond formation events, significant ED changes occur before or at the curvature peak, and as a corollary, for bond cleavage events, the topological changes indicated by the disappearance of a bond path or ceasing of VSCC overlap between two atomic basins occur either at or shortly after the peak. We quantified the shift of the E points relative to the corresponding bond formation/cleavage

curvature peak and the results are included in the ESI† (see Table S7). The largest deviation in the E point is observed for (R7) bond formation, which is shifted about 26% relative to the respective curvature peak. The other pericyclic reactions (R6) and (R8) show similar deviations except for (R9), which has a very slight deviation as low as 1-2% for both bond formation and cleavage events. The delay in bond formation in (R6), (R7) and (R8) compared to (R9) can be explained by the electronegativity difference of the two bonding partners. In the latter, the ED is exchanged between the C and O atoms, which is more efficient and leads to an early bond formation as opposed to C-C bond formation in (R6), (R7) and (R8). For all the other reactions, E point deviations up to 11% are observed, which suggest these E points are in close proximity to the corresponding curvature peaks. This information can be used as a useful guide in an ED analysis, particularly to narrow down the

(3) Decomposition of H(c) into kinetic and potential energy density contributions along the reaction path revealed that the relationship between PES and ED is mainly governed by the interplay of V(c) and G(c), where the latter reaches a maximum near Kn and Mn. As a consequence, significant changes in the ED occur close to a curvature peak.

region scanned around the curvature peak.

- (4) This combined URVA-QTAIM study leads us to further solidify the fact that the TS is mechanistically often not relevant, in accordance with previous URVA studies. ¹² We observed for all reactions studied in this work, with the exception of (R3) (see ESI†) where TS/E/K points coincide because of symmetry, that the curvature peaks associated with bond formation/cleavage events are located before or after the TS. We also could not find any specific changes in the ED at the TS locations.
- (5) A topological analysis going beyond the stationary points on the PES (R, TS, and P) probing the nature of bonding at the reaction path curvature maxima and minima as well provides a comprehensive new understanding of bond formation/cleavage events.

Conflicts of interest

There are no conflicts to declare.

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