

Derivation of the Fundamental Equations of Vibrational Spectroscopy

Robert Kalescky
Southern Methodist University
CATCO
March 18, 2011

Overview

- Lagrangian
 - Overview
 - Cartesian and Internal Coordinates
 - Displacement Coordinates
 - Relationship Between Internal and Cartesian Coordinates
 - Kinetic Energy in Internal Coordinates
 - Potential Energy in Internal Coordinates
- Euler-Lagrange Equation
 - Overview
 - Newtonian Mechanics Example
 - Vibrational Euler-Lagrange Equation
 - Possible Solutions
 - Normal Mode Vectors
 - Normal Coordinate
 - Basic Equation of Vibrational Spectroscopy

Lagrangian for Vibrational Spectroscopy

- Lagrangian
 - Difference between kinetic and potential energy descriptions.
- Kinetic Energy
 - 3K Cartesian displacement coordinate velocity $\dot{\mathbf{x}}$ elements.
 - \mathbf{M} is a 3K symmetric square matrix of atomic masses.
- Potential Energy
 - 3K Cartesian displacement coordinate \mathbf{x} elements.
 - \mathbf{f} is a 3K symmetric square matrix of force constants.
- The dot indicates differentiation with respect to time.

$$\begin{aligned}L(\mathbf{x}, \dot{\mathbf{x}}) &= T(\dot{\mathbf{x}}) - V(\mathbf{x}) \\ &= \frac{1}{2} \dot{\mathbf{x}}^\dagger \mathbf{M} \dot{\mathbf{x}} - \frac{1}{2} \mathbf{x}^\dagger \mathbf{f} \mathbf{x}\end{aligned}$$

Cartesian and Internal Coordinates

- External References
 - The position of the atoms is with respect to external reference points such as the grid of Cartesian space.
- Internal References
 - The position of atoms are with respect to other atoms in the molecule.
 - Atomic positions are described using bond lengths and angles.

- Example of an External Reference

O	-1.9	1.5	0.0
H	-0.9	1.5	0.0
H	-2.2	2.4	0.0

- Example of an Internal Reference

O				
H	1	B1		
H	1	B1	2	A2

B1	0.96
A2	104.5

Displacement Coordinates

- Displacement Coordinates
 - Cartesian displacement coordinates are the difference between a certain position and the equilibrium position.
 - Internal displacement coordinates are the difference between a certain internal coordinate and its equilibrium value.

$$\Delta \mathbf{x} = \mathbf{x} - \mathbf{x}_e \equiv \mathbf{x}$$

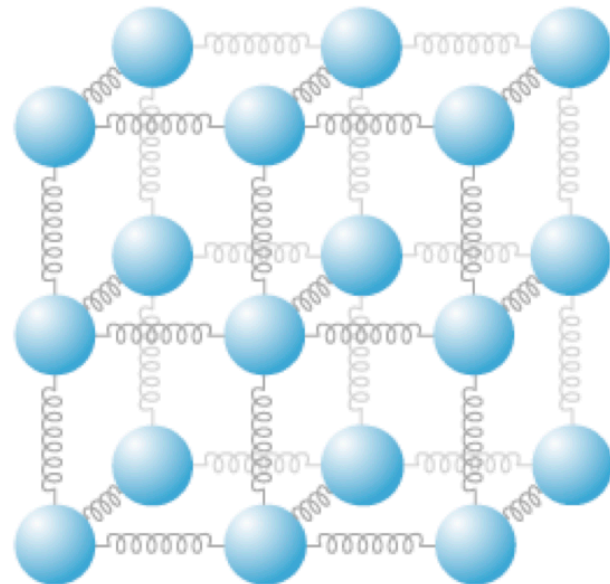
$$\Delta r = r - r_e \equiv r$$

Potential Energy of Displacement

- Potential Energy
 - Describes the potential energy of a system connected with springs.
- Hooke's Law
 - Analogous to the integrated Hooke's Law equation with respect to \mathbf{x} .
- Displacement
 - The potential energy is zero when the atoms are at their equilibrium distance from each other and greater than zero otherwise.

$$V(\mathbf{x}) = \frac{1}{2} \mathbf{x}^T \mathbf{f} \mathbf{x}$$

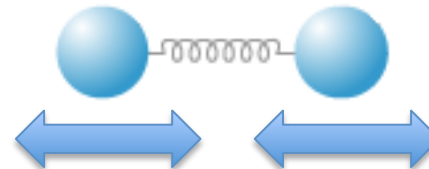
$$F = -kx \rightarrow V = \frac{1}{2} kx^2$$



Kinetic Energy of Displacement

- Kinetic Energy
 - A function describing the kinetic energy of a vibrating molecule.
- Atomic Motion
 - The vibrating molecule's atoms have a kinetic energy proportional to the frequency of their oscillations.
 - Analogous to $\frac{1}{2}mv^2$

$$T(\dot{\mathbf{x}}) = \frac{1}{2} \dot{\mathbf{x}}^\dagger \mathbf{M} \dot{\mathbf{x}}$$



Relationship Between Internal and Cartesian Coordinates

- The **B** Matrix
 - Provides a relationship between internal and Cartesian coordinates.
 - $3K - L$ internal displacement coordinates.
 - $3K$ Cartesian coordinates.
 - **B** is a rectangular $3K$ by $3K - L$ matrix.
 - It has no inverse.

$$r = Bx$$

$$B_{ni} = \left(\frac{\delta r_n(x)}{\delta x_i} \right) x$$

Kinetic Energy in Internal Coordinates

- Kinetic Energy Description
 - Because there is no inverse of **B**, there is no direct way to convert kinetic energy description using **M** into internal coordinates.
- The **G** Matrix
 - The **G** matrix is the mass matrix in internal coordinates.
 - It is a $3K - L$ symmetric square matrix.
- The **K** Matrix
 - The **K** matrix is the inverse of the **G** matrix.
 - It is a $3K - L$ symmetric square matrix.

$$T(\dot{\mathbf{x}}) = \frac{1}{2} \dot{\mathbf{r}}^\dagger \mathbf{K} \dot{\mathbf{r}}$$

$$\mathbf{K} = \mathbf{G}^{-1} = \left[\mathbf{B} \mathbf{M}^{-1} \mathbf{B}^\dagger \right]^{-1}$$

Potential Energy in Internal Coordinates

- Potential Energy Description
 - $3K - L$ r elements.
- The **F** Matrix
 - The force constant matrix in internal coordinates.
 - $3K - L$ symmetric square matrix.
 - Each element is the 2nd derivative of the potential energy.

$$V(\mathbf{r}) = \frac{1}{2} \mathbf{r}^\dagger \mathbf{F} \mathbf{r}$$
$$F_{ij} = \frac{\partial^2 V(\mathbf{r})}{\partial r_i \partial r_j}$$

Overview

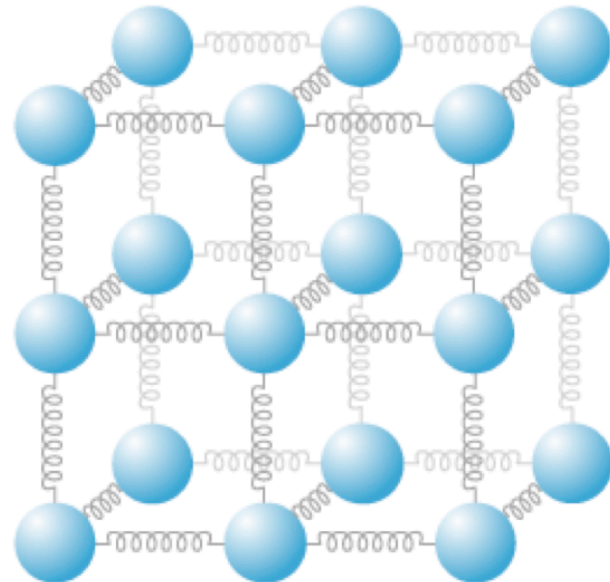
- Lagrangian
 - Overview
 - Cartesian and Internal Coordinates
 - Displacement Coordinates
 - Relationship Between Internal and Cartesian Coordinates
 - Kinetic Energy in Internal Coordinates
 - Potential Energy in Internal Coordinates
- Euler-Lagrange Equation
 - Overview
 - Newtonian Mechanics Example
 - Vibrational Euler-Lagrange Equation
 - Possible Solutions
 - Normal Mode Vectors
 - Normal Coordinate
 - Basic Equation of Vibrational Spectroscopy

The Euler-Lagrange Equation

- Lagrangian
 - The difference of the kinetic and potential energies.
 - The Lagrangian is a more kinetic and dynamic description versus the more potential and static based Hamiltonian description.
- Euler-Lagrange
 - The dynamics of the vibrating atoms in a molecule can be found by solving the system of Euler-Lagrange equations for $i = 1, \dots, 3K$

$$L(\mathbf{x}, \dot{\mathbf{x}}) = T(\dot{\mathbf{x}}) - V(\mathbf{x})$$

$$\frac{d}{dt} \frac{\partial L(\mathbf{x}, \dot{\mathbf{x}})}{\partial \dot{x}_i} - \frac{\partial L(\mathbf{x}, \dot{\mathbf{x}})}{\partial x_i} = 0$$



Euler-Lagrange Example

Newton's Laws of Motion

1. A body in motion stays in motion until acted upon by an external force.
2. A body acted upon by a force accelerates proportionally, $F = ma$.
3. Forces between bodies are equal and opposite,
 $F_{a-b} = -F_{b-a}$

Lagrangian Mechanics

- Lagrangian mechanics is a different way of mathematically expressing Newtonian mechanics, but the physics stays the same.
- The primary advantage of using the Lagrangian is that it is not coordinate system dependent.
 - Changing from Cartesian coordinates to polar coordinates for some Newtonian problems can be tedious.
 - As the Lagrangian is not coordinate system dependent, changing coordinate systems for a particular type of problem are trivial.

Euler-Lagrange Example

- Principle of Least Action
 - The path through configurational space as a function of time is such that “action” is minimized.
 - The Lagrangian is chosen such that the path taken is the path of least action according to Newton’s Laws.

$$\begin{aligned}L(\mathbf{x}, \dot{\mathbf{x}}) &= T(\dot{\mathbf{x}}) - V(\mathbf{x}) \\ &= \frac{1}{2} m \dot{\mathbf{x}}^2 - V(\mathbf{x})\end{aligned}$$

Euler-Lagrange Example

$$L(x, \dot{x}) = \frac{1}{2} m \dot{x}^2 - V(x)$$

$$\frac{d}{dt} \frac{\partial L(x, \dot{x})}{\partial \dot{x}_i} - \frac{\partial L(x, \dot{x})}{\partial x_i} = 0$$

$$\frac{d}{dt} \frac{\frac{1}{2} m \dot{x}^2 - V(x)}{\dot{x}_i} - \frac{\frac{1}{2} m \dot{x}^2 - V(x)}{x_i} = 0$$

Euler-Lagrange Example

$$\frac{d}{dt} \frac{\frac{1}{2} m \dot{x}^2 - V(x)}{d\dot{x}_i} - \frac{\frac{1}{2} m \dot{x}^2 - V(x)}{dx_i} = 0$$

$$\frac{d}{dt} m \dot{x} - \frac{V(x)}{dx_i} = 0$$

$$m \frac{d}{dt} \dot{x} - \frac{V(x)}{dx_i} = 0$$

2nd Law: $F = ma$

$$m \ddot{x}_i + F_{V_i} = F_{T_i} + F_{V_i} = 0$$

3rd Law: $F_{a-b} = -F_{b-a}$

$$F_{T_i} = -F_{V_i}$$

Euler-Lagrange Equation

- Euler-Lagrange

- The dynamics of the vibrating atoms in a molecule can be found by solving the system of Euler-Lagrange equations for $i = 1, \dots, 3K - L$.

$$L(\mathbf{r}, \dot{\mathbf{r}}) = T(\dot{\mathbf{r}}) - V(\mathbf{r}) = \frac{1}{2} \dot{\mathbf{r}}^T \mathbf{K} \dot{\mathbf{r}} - \frac{1}{2} \mathbf{r}^T \mathbf{F} \mathbf{r}$$

$$\frac{d}{dt} \frac{\partial L(\mathbf{r}, \dot{\mathbf{r}})}{\partial \dot{\mathbf{r}}_i} - \frac{\partial L(\mathbf{r}, \dot{\mathbf{r}})}{\partial \mathbf{r}_i} = 0$$

$$\frac{d}{dt} \frac{\partial T(\dot{\mathbf{r}}) + V(\mathbf{r})}{\partial \dot{\mathbf{r}}_i} - \frac{\partial T(\dot{\mathbf{r}}) + V(\mathbf{r})}{\partial \mathbf{r}_i} = 0$$

- The vibrational Euler-Lagrange equation is found by substituting the vibrational Lagrangian into the equation.

$$\frac{d}{dt} \frac{\partial T(\dot{\mathbf{r}})}{\partial \dot{\mathbf{r}}_i} - \frac{\partial V(\mathbf{r})}{\partial \mathbf{r}_i} = 0$$

$$\mathbf{K} \ddot{\mathbf{r}} + \mathbf{F} \mathbf{r} = 0$$

Possible Solutions

- Possible solutions the Euler-Lagrange Equation
 - System of $3K - L$ solutions.
 - v_k and ρ are appropriately chosen constants.
 - λ_k are vibrational eigenvalues from which the harmonic frequencies can be determined.
- The I Vector
 - Contains $3K - L$ normal mode vectors.
- The possible solutions are substituted into the differentiated form of the Euler-Lagrange equation.

$$\mathbf{K}\ddot{\mathbf{r}} + \mathbf{F}\mathbf{r} = 0$$

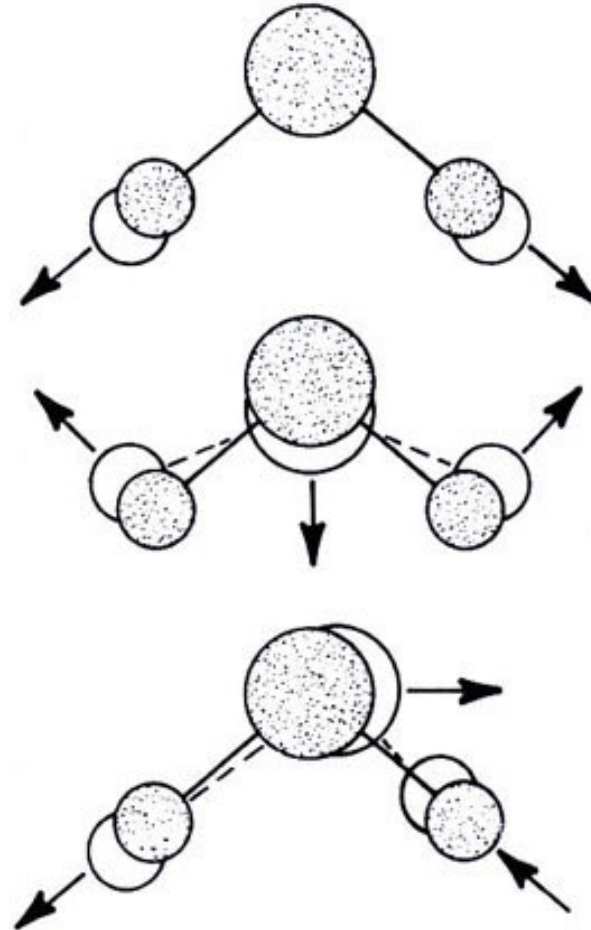
$$\mathbf{r}_i = I_{ik} \cos(2\pi v_k + \rho)$$

$$\ddot{\mathbf{r}}_i = -\lambda_k I_{ik} \mathbf{r}_i$$

$$[\mathbf{F} - \lambda_k \mathbf{K}] I_{ik} = 0$$

Normal Mode Vectors

- Normal Mode Vectors
 - Describe the motion of the vibrational normal modes.
- Example: Normal Modes of Water
 - Symmetric stretch.
 - Bending.
 - Asymmetric stretch.



Normal Coordinate

- Normal coordinates refer to the displacement of nuclei from their equilibrium positions during a normal mode vibration.
- A normal coordinate is a linear combination of mass weighted internal or Cartesian coordinate displacements.
- There is a single normal coordinate for each vibrational normal mode.
- Normal coordinates are required for a quantum mechanical versus classical description of molecular vibrations.
- The kinetic and potential energies are summed over $i = 3K - L$.

$$T(Q) = \frac{1}{2} \sum \lambda_i \dot{Q}_i^2$$

$$V(Q) = \frac{1}{2} \sum Q_i^2$$

Basic Equation of Vibrational Spectroscopy

- Basic Equation of Vibrational Spectroscopy
 - Provides connection between the 3K-L normal mode vectors I_i and their frequencies via Λ .
 - Λ is a matrix of which the diagonal elements are 3K-L vibrational eigenvalues from which the vibrational harmonic frequencies can be determined.
 - \mathbf{E} is a unit matrix.
- Final Equation
 - Multiply from the left by \mathbf{K}^{-1} which is \mathbf{G} .
 - The bracketed equations are equivalent.
 - The \mathbf{L} matrix contains the normal mode eigenvectors.

$$\left[\begin{array}{l} [\mathbf{F} - \lambda_k \mathbf{K}] I_{ik} = 0 \\ [\mathbf{GF} - \lambda_k \mathbf{E}] I_{ik} = 0 \\ \mathbf{GFL} = \mathbf{L}\Lambda \end{array} \right]$$

Overview

- Lagrangian
 - Overview
 - Cartesian and Internal Coordinates
 - Displacement Coordinates
 - Relationship Between Internal and Cartesian Coordinates
 - Kinetic Energy in Internal Coordinates
 - Potential Energy in Internal Coordinates
- Euler-Lagrange Equation
 - Overview
 - Newtonian Mechanics Example
 - Vibrational Euler-Lagrange Equation
 - Possible Solutions
 - Normal Mode Vectors
 - Normal Coordinate
 - Basic Equation of Vibrational Spectroscopy

References

1. Kraka, E.; Cremer, D. Characterization of CF Bonds with Multiple-Bond Character: Bond Lengths, Stretching Force Constants, and Bond Dissociation Energies. *ChemPhysChem* **2009**, 10, 686-698.
2. Ochterski, J. *Vibrational analysis in Gaussian*. Gaussian Inc. **2000**.
3. Konkoli, Z.; Cremer, D. A new way of analyzing vibrational spectra. I. Derivation of adiabatic internal modes. *International Journal Of Quantum Chemistry* **1998**, 67, 1-9.
4. Cremer, D.; Larsson, J. New developments in the analysis of vibrational spectra On the use of adiabatic internal vibrational modes. *Theoretical Organic Chemistry* **1998**, 5, 259-327.
5. McQuarrie, D. A.; Simon, J. D. *Physical Chemistry; A Molecular Approach*; University Science Books: Sausalito, **1997**.
6. Atkins, P. W.; Friedman, R. S. *Molecular Quantum Mechanics*; 3rd ed.; Oxford University Press: Oxford, **1997**.
7. Woodward, L. A. *Introduction to the Theory of Molecular Vibrations and Vibrational Theory*; Oxford University Press: London, **1972**.
8. Gans, P. *Vibrating Molecules; An Introduction to the Interpretation of Infrared and Raman Spectra*; Chapman and Hall: London, **1971**.
9. Wilson, E. B.; Decius, J. C.; Cross, P. C. *Molecular Vibrations*; McGraw-Hill Book Company, Inc.: New York, **1955**.

Images

1. http://www.phy.cuhk.edu.hk/contextual/heat/tep/trans/solid_state_model.gif
2. <http://disc.sci.gsfc.nasa.gov/oceancolor/additional/science-focus/ocean-color/images/47Z.jpg>

Questions?